

## DFM Investor Number (NIN) Request Form

Date:

Obtaining a DFM Investor Number (NIN) is simple and without any associated costs.

Select one of the following ways to obtain a DFM Investor Number (NIN):

- Simply present your original Emirates National ID card along with supporting documents mentioned below to the Investor Affairs, Dubai CSD, Dubai Financial Market (For individual investors only).
- Alternatively complete the "Investor Number Request Form", print and sign it with consideration of the following:
  - The signature on the form should be authenticated by Dubai CSD staff at Investor Affairs Desk, Licensed Trading Member at Dubai Financial Market, an accredited Bank in UAE licensed by UAE Central Bank, Notary Public and UAE embassy in foreign countries or by the UAE Ministry of Foreign Affairs
  - Submit the completed form with the supporting documents via one of the options below:
    - DFM eServices: accessible through the DFM Smart Services app (available to download on Apple store and Google Play Store), or login through [www.dfm.ae](http://www.dfm.ae)
    - Investor Affairs, DFM Trading Floor: Dubai CSD, Dubai Financial Market, Ground Floor, Dubai World Trade Centre, Dubai. Your Investor Number will be processed on the same day. The Investor Affairs Desk is open from 8:00am to 2:00pm, Sunday to Thursday. Tel: +971 4 305 5555, email: [customerservice@dfm.ae](mailto:customerservice@dfm.ae)
    - Your Trading Member

### The Required Supporting Documents according to the investor types mentioned below:

For Individuals:	For Companies/Institutions/Governments/Banks/ Funds/Trading Member registered on DFM:	For Heirs Account:
<ul style="list-style-type: none"> <li>Valid copy of the Passport.</li> <li>True copy of UAE Family Book for (UAE Nationals only).</li> <li>Valid copy of Emirates National ID (For UAE Nationals &amp; Residents).</li> <li>Valid copy of UAE Residential Visa (For UAE Residents).</li> <li>Plus specific documentation depending on:               <ul style="list-style-type: none"> <li><b>If a Minor (below 21 years):</b> <ol style="list-style-type: none"> <li>The signature of the Guardian (the Father)</li> <li>In the case of a Guardian other than the Father: true copy of a duly authenticated guardianship order issued by the court or Notary Public.</li> <li>Valid copy of the guardian's Passport/ Emirates National ID</li> <li>Guardianship orders issued outside UAE must be certified by the UAE embassy in those countries or by UAE Ministry of Foreign Affairs</li> </ol> </li> <li><b>If a Marsoom Holder:</b> <ol style="list-style-type: none"> <li>Valid copy of the Marsoom issued from Federal Authority for Identity and Citizenship addressed to Dubai CSD Or To Whom It May Concern</li> </ol> </li> <li><b>If a Representative:</b> <ol style="list-style-type: none"> <li>Valid copy of a duly authenticated Power of Attorney issued from court or Notary Public.</li> <li>A Power of Attorney issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries or by UAE Ministry of Foreign Affairs.</li> <li>Valid copy of the representative's Passport or Emirates National ID.</li> </ol> </li> <li><b>If the selected payment method is Bank Transfer:</b> <ol style="list-style-type: none"> <li>Copy of bank statement or certified bank letter, mentioning clearly the bank account details. The bank account should be in the name of the investor.</li> </ol> </li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Valid copy of the Trade License and Commercial Register.</li> <li>True copy of the Memorandum of Association.</li> <li>True copy of a duly authenticated authorized signatory letter issued by the company.</li> <li>Valid copy of the partners/authorized signatory Passport or Emirates National ID.</li> <li>Bank statement or certified bank letter, mentioning clearly the bank account details. Bank account should be in the name of the investor.</li> <li>Plus specific documentation depending on:               <ol style="list-style-type: none"> <li><b>If a Fund:</b> <ul style="list-style-type: none"> <li>Inside the UAE: a duly authenticated license letter from the Central Bank.</li> <li>Outside the UAE: authenticated documents from the official authorities.</li> </ul> </li> <li><b>If a Bank:</b> <ul style="list-style-type: none"> <li>Inside the UAE: duly authenticated license letter from the Central Bank.</li> <li>Outside the UAE: authenticated documents from the official authorities.</li> </ul> </li> <li><b>If a Trading Member registered at DFM:</b> <ul style="list-style-type: none"> <li>Valid copy of Brokerage License form the UAE Securities Commodities Authority (SCA) or the Dubai Financial Services Authority (DFSA).</li> <li>An Official Letter issued from Market Operations Department at DFM that approves opening Investor Number at DFM.</li> </ul> </li> <li><b>If a Company and Institution licensed outside the UAE:</b> <ul style="list-style-type: none"> <li>All official documents such as Trade License, Commercial Register, Memorandum of Association must be authenticated by the UAE Ministry of Foreign Affairs or the UAE Embassy.</li> </ul> </li> <li><b>If a Representative:</b> <ul style="list-style-type: none"> <li>Valid copy of a duly authenticated Power of Attorney issued by the court or Notary Public.</li> <li>A Power of Attorney issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries or by UAE Ministry of Foreign Affairs.</li> <li>Valid copy of the representative's Passport or Emirates National ID.</li> </ul> </li> </ol> </li> </ul>	<ul style="list-style-type: none"> <li>True copy of Death Certificate</li> <li>True copy of a duly certified Legal Declaration and/or Inheritance Limitation</li> <li>Valid copy of representative's Passport or Emirates National ID.</li> <li>Valid copy of a duly authenticated Power of Attorney issued from court on behalf of all Heirs</li> <li>Valid copy of Passport or Emirates National ID of all Heirs</li> <li>Any document issued outside UAE must be authenticated by the official authorities and the UAE Embassy in those countries or by UAE Ministry of Foreign Affairs.</li> </ul>

Your Investor Number will be sent by SMS once processed.

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Date:

<b>Account Type</b>		
<input type="checkbox"/> Individual	<input type="checkbox"/> Company / Sole Proprietorship	<input type="checkbox"/> Heirs Account

<b>Individual</b>		
Full Name (as per Passport):		
Mother's Name:		Nationality:
Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female	Passport No.:	Emirates National ID No.:
Date of Birth: DD / MM / YYYY	Minor (under 21): <input type="checkbox"/> Yes <input type="checkbox"/> No	UAE Visa Number (if applicable):
Guardian/Representative Name (if applicable):	UAE Family Book No:	
Guardian/Representative Investor Number (if applicable):	City No:	Family No:

<b>Company/Sole Proprietorship</b>		
Company Name (as per trade license):		
Authorized Signatory Name:	Trade License No.:	
Authorized Signatory Emirates National ID No.:	Commercial Registration No.:	
Authorized Signatory Passport No.:	Type of Company:	

<b>Heirs Account</b>		
Deceased Name:		
Heir's Representative Name:		
Heir's Representative Emirates National ID No.:	Heir's Representative Passport No.:	

<b>Contact Details</b>		
Address:	Mobile Phone No.:	
P.O. Box:	Zip/Postal Code:	Residence/Office Telephone No.:
City:	Country:	Email Address:

<b>Cash Dividends Payment Method (Select one option only)</b>		
<input type="checkbox"/> <b>iVESTOR Card</b> (for individual investors & sole proprietorships only) 1. Cards will be delivered by courier inside UAE (registered mail outside UAE) 2. The investor should obtain an iVESTOR Card before the dividends are distributed 3. iVESTOR Card Issuance is FREE. 4. Card issuance is subject to iVESTOR Terms & Conditions (available at www.dfm.ae)	<input type="checkbox"/> <b>Bank Transfer</b> (for all investors) 1. Bank transfers may be subject to charges and/or foreign exchange conversion rates which may be deducted from the cash dividends amount by the transferring bank and/or the receiving bank. 2. Please attach a bank statement or certified bank letter, stating clearly the bank account details 3. Bank account should be in the name of the investor 4. Please fill the details below only if you select "Bank Transfer" as cash dividends payment method	
Bank Type (select one option only): <input type="checkbox"/> UAE Bank Account Details <input type="checkbox"/> Non-UAE Bank Account Details		
Bank Name:	Bank Name:	Swift Code:
IBAN No. (AED):	City:	Country:
Bank Name:	Account No.:	
IBAN No. (USD):	IBAN No.:	

<b>Acknowledgment</b>		
I, the undersigned, hereby undertake, as an investor, Agent or Guardian, full legal and financial liability for the accuracy of completing the information based on the choice of cash dividend distribution mechanism I would like to receive cash dividends which are approved for distribution by the DFM and Nasdaq Dubai listed companies, I agree and I am in my full legal capacity, to release Dubai CSD from any liability that may arise from and deficiency or error in providing any of the data or information set forth in this application. I therefore waive irrevocably my right to claim from Dubai CSD any material, compensation or otherwise to meet any claims. I have read the Terms and Conditions which can be found at <a href="http://www.dubaicdsd.ae">www.dubaicdsd.ae</a> website under the section Terms & Conditions which forms part of my application for an Investor Number with Dubai CSD and hereby agree to be bound by them. I agree that the Investor Number and accounts will be operated in accordance with the Terms & Conditions in force and as may be amended from time to time.		
Investor Signature	Guardian/ Representative Signature/ Authorized Signatory	Entity Stamp certifying the Signature
For Official Use Only	DFM Investor Number (NIN):	